

NOTICE OF MEETING

Meeting	Audit Committee
Date and Time	Thursday, 22nd June, 2017 at 2.30 pm * <u>Please note start time</u>
Place	Chute Room, EII Court South, The Castle, Winchester
Enquiries to	members.services@hants.gov.uk

John Coughlan CBE
Chief Executive
The Castle, Winchester SO23 8UJ

FILMING AND BROADCAST NOTIFICATION

This meeting may be recorded and broadcast live on the County Council's website. The meeting may also be recorded and broadcast by the press and members of the public – please see the Filming Protocol available on the County Council's website.

AGENDA

1. APOLOGIES FOR ABSENCE

To receive any apologies for absence received.

2. DECLARATIONS OF INTEREST

All Members who believe they have a Disclosable Pecuniary Interest in any matter to be considered at the meeting must declare that interest and, having regard to the circumstances described in Part 3 Paragraph 1.5 of the County Council's Members' Code of Conduct, leave the meeting while the matter is discussed, save for exercising any right to speak in accordance with Paragraph 1.6 of the Code. Furthermore all Members with a Non-Pecuniary interest in a matter being considered at the meeting should consider whether such interest should be declared, and having regard to Part 5, Paragraph 2 of the Code, consider whether it is appropriate to leave the meeting while the matter is discussed, save for exercising any right to speak in accordance with the Code.

3. MINUTES OF PREVIOUS MEETING (Pages 5 - 8)

To confirm the minutes of the previous meeting held on 2 February 2017.

4. DEPUTATIONS

To receive any deputations notified under Standing Order 12.

5. CHAIRMAN'S ANNOUNCEMENTS

To receive any announcements the Chairman may wish to make.

6. ROLE OF MEMBERS ON THE AUDIT COMMITTEE (PRESENTATION)

To receive a presentation from the Director of Corporate Resources – Corporate Services on the role of members on the Audit Committee.

7. INFORMATION COMPLIANCE - USE OF REGULATED INVESTIGATORY POWERS (Pages 9 - 18)

To consider a report of the Director of Culture, Communities and Business Services and Director of Transformation and Governance – Corporate Services on the instances the County Council has used its investigatory powers in the last financial year.

8. INTERNAL AUDIT CHARTER 2017-18 (Pages 19 - 32)

9. INTERNAL AUDIT PLAN 2017-18 (Pages 33 - 56)

To consider a report of the Director of Corporate Resources – Corporate Services providing an overview of the County Council's Internal Audit Plan.

10. CHIEF INTERNAL AUDITOR'S ANNUAL REPORT & OPINION 2016-17 (Pages 57 - 74)

To consider a report of the Director of Corporate Resources – Corporate Services presenting the annual report and opinion for 2016-17.

11. ANNUAL TREASURY OUTTURN REPORT 2016/17 (Pages 75 - 88)

To consider a report of the Director of Corporate Resources – Corporate Services giving details on treasury activity during 2016/17.

12. ANTI-MONEY LAUNDERING POLICY (Pages 89 - 104)

To consider a report of the Director of Corporate Resources – Corporate Resources regarding the County Council's approach to preventing money-laundering and seeking approval for an Anti-Money Laundering Policy.

13. ANNUAL GOVERNANCE STATEMENT (Pages 105 - 122)

To consider a report of the Director of Transformation and Governance and the Director of Corporate Resources – Corporate Services seeking approval to the Annual Governance Statement.

14. HAMPSHIRE COUNTY COUNCIL ANNUAL AUDIT AND CERTIFICATION FEES LETTER 2017/18 (Pages 123 - 126)

To receive the annual audit and certification fees letter for 2017/18.

15. HAMPSHIRE PENSION FUND ANNUAL AUDIT FEE LETTER FOR 2017/18 AND PLAN (Pages 127 - 146)

To receive the annual audit fee letter for 2017/18 and Plan for the Hampshire Pension Fund.

16. MINUTES OF THE PENSION FUND AND BOARD MEETINGS (NON-EXEMPT)

To receive the minutes of the Pension Fund and Board meetings

a) 16 December 2016 (Pages 147 - 152)

b) 31 January 2017 (Pages 153 - 156)

17. EXCLUSION OF THE PRESS AND PUBLIC

To resolve that the public be excluded from the meeting during the following items of business, as it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the public were present during these items there would be disclosure to them of exempt information within Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972, and further that in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information, for the reasons set out in the reports.

18. MINUTES OF THE PENSION FUND PANEL AND BOARD MEETINGS (EXEMPT)

To receive the exempt minutes of the Pension Fund Panel and Board meetings.

a) 16 December 2016 (Pages 157 - 158)

b) 31 January 2017 (Pages 159 - 162)

ABOUT THIS AGENDA:

On request, this agenda can be provided in alternative versions (such as large print, Braille or audio) and in alternative languages.

ABOUT THIS MEETING:

The press and public are welcome to attend the public sessions of the meeting. If you have any particular requirements, for example if you require wheelchair access, please contact members.services@hants.gov.uk for assistance.

County Councillors attending as appointed members of this Committee or by virtue of Standing Order 18.5; or with the concurrence of the Chairman in connection with their duties as members of the Council or as a local County Councillor qualify for travelling expenses.